

Practitioner's Docket No.: 892\_013



*Sector \$ #16*

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Tateo UEGAKI

Serial No.: 09/753,241

Art Unit: Not Assigned

Filed: December 29, 2000

Examiner: Not Assigned

For: PROCESS MANAGEMENT SYSTEM AND MEDIUM RECORDING  
THEREIN PROGRAMS READABLE BY A COMPUTER

**Box Missing Parts**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail addressed to **Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231** on July 25, 2001.

*Elizabeth A. VanAntwerp*  
Elizabeth A. VanAntwerp

**COMPLETION OF FILING REQUIREMENTS**  
**— NONPROVISIONAL APPLICATION**

*(check and complete this item, if applicable)*

- I. ☒ This replies to the Notice to File Missing Parts of Nonprovisional Application mailed February 21, 2001.
- ☒ A copy of the Notice to File Missing Parts of Nonprovisional Application—Filing Date Granted is enclosed.

**DECLARATION OR OATH**

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**OTHER PAPERS ENCLOSED**

- III. ☒ A Preliminary Amendment  
☒ Assignment with Assignment Transmittal

**TRANSMITTAL OF ENGLISH TRANSLATION**  
**OF NON-ENGLISH LANGUAGE PAPERS**

- IV. ☒ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

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**PAYMENT OF FEES**

- IX. ☒ Enclosed is a check in the amount of \$1,285.00.  
☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate of this request is attached.

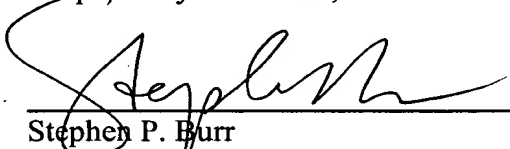
**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR  
CREDIT OVERPAYMENT**

- X. ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

July 25, 2001

Date

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

SPB/eav

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### SMALL ENTITY STATUS

- V. ☒ Applicant(s) claim(s) small entity status. See 37 C.F.R. § 1.27.

### COMPLETION FEES

- VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

*NOTE: For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).*

1. Filing Fee
    - ☒ Original Patent Application \$ 355.00  
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
  2. Fees for Claims (9 Claims; 2 Indep.)
    - ☐ each independent claim in excess of 3  
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00) \$ .00
    - ☐ each claim in excess of 20  
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00) \$ .00
    - ☐ multiple dependent claim(s)  
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00) \$ .00
  3. Surcharge fees
    - ☒ late payment of filing fee \$ 65.00  
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(k) --\$130.00; small entity--\$65.00)

*NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(k).*

5. ☒ Fee for processing an application filed with a specification in a non-English language \$ 130.00  
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
6. ☒ Assignment Fee \$ 40.00

**Total Completion Fees \$ 590.00**

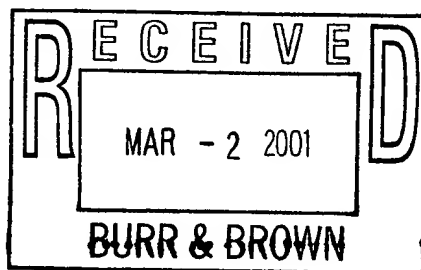


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/753,241	12/29/2000	Tateo Uegaki	892_013

025191  
BURR & BROWN  
PO BOX 7068  
SYRACUSE, NY 13261-7068



CONFIRMATION NO. 8615

FORMALITIES LETTER



\*OC000000005784601\*

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 970.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

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01 FC:201  
02 FC:205  
03 FC:209

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- drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

***A copy of this notice MUST be returned with the reply.***

Customer Service Center

**Initial Patent Examination Division (703) 308-1202**

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

[illegible]